

Project: Ambulatory

Area of Concentration: Adults Transitioning from the Criminal Justice System **Provider Type:** Regional Behavioral Health Authority (RBHA) Selected Providers

Objective: To integrate primary care and behavioral health services for the purposes of better coordination of the preventive and chronic illness care for adults with behavioral health needs transitioning from the Criminal Justice System.

*Unless otherwise stated, demonstration that the practice has met the criteria listed in each Milestone Measurement is due by September 30th of the respective Milestone Measurement Period. **

*Note: Selected providers are also required to participate in the Adults with Behavioral Health Needs – Area of Concentration- Primary Care Provider area of concentration.

Utilize a behavioral health integration toolkit, to develop a practice-specific course of action to improve integration, building from the self-assessment results that were included in the practice's Targeted Investments application. Behavioral health integration toolkit examples can be found through the Substance Abuse and Mental Health Services Administration, Health Services Administration (SAMHSA-HRSA) Center for Integrated Health Solutions (see www.integration.samhsa.gov/operations-administration/assessment-tools).	
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
By December 31, 2017, identify the name of the integration toolkit the practice has adopted and document a practice-specific action plan informed by the practice's self-assessment, with measurable goals and timelines.	By October 31, 2018, demonstrate substantive progress has been made on the practice-specific action plan and identify barriers to, and strategies for, achieving additional progress.
	By July 31, 2019, report on the progress that has been made since November 1, 2018 and identify barriers to, and strategies for, achieving additional progress.

Project: Ambulatory

management. Practices should consider multiple s care organizations (MCOs), electronic health recor- referral and Admission-Discharge-Transfer (ADT) a	an electronic registry to track those members and support effective integrated care ources when identifying members at high risk, including information provided by managed d (EHR)-based analysis of members with distinguishing characteristics, clinical team elerts received from Health Current (Arizona Health-e Connection). Practices should s may be improved or favorably affected through practice-level care management.
Adult members at high risk are determined by the include members with or at risk for a behavioral he are at high risk of a) near-term acute and behaviora utilization and b) decline in physical and/or behavioral	alth condition who all health service must include children/youth who all health service chronic physical, developmental, behavioral or emotional conditions
Milestone Measurement Period	
(October 1, 2017-September 30, 201	8**) (October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to S	State Practice Reporting Requirement to State
 A. By April 2018, demonstrate that a high-risk registry established and articulate the criteria used to ident members. 	
B. By September 2018, demonstrate that the high-ris criteria are routinely used and that the names and information for members meeting the practice crite the registry.	k identification associated clinical

¹ Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

3. For adult practices delivering primary care: 2

Utilize practice care managers³ for members included in the high-risk registry, with a case load not to exceed a ratio of 1:100. Care managers may be employed directly by the practice, an affiliated entity (for example, Accountable Care Organization, integrated health system) or contracted by the practice from external sources. Practice-level care management functions should include:

- 1) Assessing and periodically reassessing member needs.
- 2) Playing an active role in developing and implementing integrated care plans.
- 3) Collaboratively supporting hospital transitions of care (especially following hospitalization for mental illness).
- 4) Coordinating members' medical and behavioral health services, assuring optimal communication and collaboration with MCO and/or other practice case or care management staff so that duplication in efforts does not occur and that member needs are addressed as efficiently as possible.
- 5) Working with members and their families to facilitate linkages to community organizations, including social service agencies.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)



Practice Reporting Requirement to State

A. By June 30, 2018, identify at least one care manager assigned to provide integrated care management services for members listed in the practice high-risk registry. Indicate the caseload per care manager full time equivalent (FTE).

- B. Document that the duties of the practice care manager include the elements of care management listed in this Core Component, and document the process for prioritizing members to receive practice care management consistent with Core Component 2.
- C. By September 30, 2018, demonstrate that the care manager(s) has

Milestone Measurement Period 2

(October 1, 2018–September 30, 2019**)



Practice Reporting Requirement to State

- A. By March 31, 2019, document that care managers have been trained in motivational interviewing, including member activation and self-management support.
- B. Based on a practice record review of a random sample of 20 members listed in the high-risk registry during the past 12 months, attest that the care manager has documented: a) completing a comprehensive assessment, b) educating members, c) conducting motivational interviewing, d) appropriately facilitating linkages to community-based organizations, and e) whether the member already received integrated

² Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.

³ Care managers are responsible for high-risk patients at one or more defined practices where they work on an ongoing basis as a member of the care team and have relationships with practices and practice teams. Care managers can be located within the practice site, nearby or remotely and available through telephone or in person through telepresence means. A care manager must be a registered nurse with a Bachelor's degree or a Master's prepared licensed social worker. In the event the practice is unable to hire a care manager(s) with those qualifications, a licensed practical nurse or a Bachelor's prepared licensed social worker is acceptable.

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

been trained in: Comprehensive assessment of member needs and goals; Use of integrated care plans; Member and family education; and Facilitating linkages to community-based organizations,	care/case management from other practices and/or MCOs, at least 85% of the time.
utilizing resources identified in Core Component 10.	

Implement the use of an integrated care plan ⁴ , using established data elements ⁵ , for members identified as part of Core Compon	
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
By September 30, 2018, demonstrate that the practice has begun using an integrated care plan.	Based on a practice record review of a random sample of 20 members, whom the practice has identified as having received mental health
integrated care plan.	services during the past 12 months, attest that the integrated care plan,

⁴ An integrated care plan is one that prioritizes both physical and behavioral health needs, and reflects the patient and provider's shared goals for improved health. It includes actionable items and linkages to other services and should be updated continually in consultation with all members of the

clinical team, the patient, the family and when appropriate, the Child and Family Team. ⁵ Established data elements may include: problem identification, risk drivers, barriers to care, medical history, medication history, etc. AHCCCS will lead a

stakeholder process to identify a set of established data elements that should be included in an integrated care plan.

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

Screen all members to assess the status of common social determinants of health (SDOH), and develop procedures for intervention or referral based on the results from use of a practice-identified, structured social determinants of health (SDOH) screening tool. (Tool examples include the Patient-Centered Assessment Method (PCAM), which can be found at www.pcamonline.org/about-pcam.html, the Health Leads Screening Toolkit (which includes a screening tool), which can be found at: https://healthleadsusa.org/tools-item/health-leadsscreening-toolkit/), the Hennepin County Medical Center Life Style Overview which can be found at: Hennepin County Life Style Overview Tool, the Protocol for Responding to and Assessing Patients' Assets, Risks and Experiences (PRAPARE), which can be found at: Milestone Measurement Period 1 Milestone Measurement Period 2 (October 1, 2017-September 30, 2018**) (October 1, 2018-September 30, 2019**) **Practice Reporting Requirement to State Practice Reporting Requirement to State** A. Identify which SDOH screening tool is being used by the practice. Based on a practice record review of a random sample of 20 members. B. Develop policies and procedures for intervention or referral to specific attest that: resources/agencies, consistent with Core Component 9, based on A. 85% of members were screened using the practice-identified information obtained through the screening. screening tool. B. 85% of the time, results of the screening were contained within the integrated care plan. C. 85% of members, who scored positively on the screening tool, received appropriate intervention(s) or referral(s).

Project: Ambulatory

6.	 A. Develop communication protocols with physical health, behavioral health and (if appropriate) developmental pediatric providers for referring members, handling crises, sharing information, obtaining consent and provider-to-provider consultation. 1) Behavioral health providers must also have protocols that help identify a member's need for follow-up physical health care with his/her primary care provider, and conduct a warm-hand off if necessary. 		
	B. Develop protocols for ongoing and collaborative team-based care, provide input into an integrated care plan, to communicate relevan care management services provided by another provider.	including for both physical health and behavioral health providers to at clinical data and to identify whether the member has practice-level	
	C. Develop protocols for communicating with MCO-level care managers to coordinate with practice-level care management activities.		
	An example of a protocol can be found at: Riverside Protocol Example		
	Milestone Period Measurement Period 1	Milestone Measurement Period 2	
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)	
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State	
	 A. Identify the names of providers and MCOs with which the site has developed communication and care management protocols. B. Document that the protocols cover how to: Refer members, Conduct warm hand-offs, Handle crises, Share information, Obtain consent, and Engage in provider-to-provider consultation. 	Based on a practice record review of a random sample of 20 members whom the practice has identified as having received mental health services during the past 12 months, attest that a warm hand-off, consistent with the practice's protocol, occurred 85% of the time.	

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

7. For all practices delivering primary care 6:

Routinely screen all members at the age-appropriate time⁷ for depression, drug and alcohol misuse, anxiety, developmental delays in infancy and early childhood, and suicide risk, using age-appropriate and standardized tools, such as, but not limited to:

- 1) Depression: Patient Health Questionnaire (PHQ-2 and PHQ-9).
- 2) Drug and alcohol misuse: CAGE-AID (Adapted to Include Drugs), Drug Abuse Screen Test (DAST), SBIRT.
- 3) Anxiety: Generalized Anxiety Disorder (GAD 7).
- 4) Developmental delays in infancy and early childhood: Parents' Evaluation of Development Status (PEDS), Ages and Stages Questionnaires (ASQ) or Modified Checklist for Autism in Toddlers (M-CHAT-R).
- 5) Suicide Risk: Columbia-Suicide Severity Rating Scale (C-SSRS), Suicide Assessment Five-Step Evaluation and Triage (SAFE-T).
- 6) Other AHCCCS approved screening tools.

The practice must develop procedures for interventions and treatment, including periodic reassessment as per evidence-based recommendation. The practice must also indicate the criteria used to refer members to a community behavioral health provider for more intensive care.

Γ	Milestone Period Measurement Period 1	Milestone Measurement Period 2	
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)	
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State	
	A. Identify the practice's policies and procedures for use of standardized	Based on a practice record review of a random sample of 20 members	
	screening tools to identify:	listed in the high-risk registry in the last 12 months, attest that a	
	1) Depression,	reassessment if clinically necessary, occurred within the evidence-based	
	2) Drug and alcohol misuse,	timeframe recommended 85% of the time.	
	3) Anxiety,		
	4) Developmental delays in infancy,		
	5) Early childhood, cognitive, emotional and behavioral problems,		
	and		
	6) Suicide risk.		

⁶ Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.

⁷ Practices serving children/youth should utilize the AHCCCS EPSDT Periodicity Schedule for screening of children, available at https://www.azahcccs.gov/shared/Downloads/MedicalPolicyManual/400/Exhibit430-1.docx. AHCCCS may revisit and update the periodicity schedule as needed.

Page 7 of 16

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

The policies must include which standardized tool will be used. B. If the practice serves children/youth, identify the policies and	
procedures for routinely screening members, in accordance with the AHCCCS EPSDT Periodicity Schedule for screening of children.	
C. Identify the practice's procedures for interventions or referrals, as the result of a positive screening.	
D. Attest that the results of all practice's specified screening tool assessments are documented in the electronic health record.	

For all practices delivering primary care *:	
Utilize the Arizona Opioid Prescribing Guidelines for chronic pain (ex http://www.azdhs.gov/documents/audiences/clinicians/clinical-guidelines.pdf	
Milestone Period Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
By January 1, 2018, demonstrate that all providers in the practice have	Based on a practice record review of a random sample of 20 members,
been trained on the AZ guidelines for opioid prescribing.	who were prescribed opioids, attest that the prescriber complied with the
	AZ guidelines for opioid prescribing 85% of time.

⁸ Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.

Page 8 of 16

Project: Ambulatory

9. Participate in bidirectional exchange of data with Health Current, the health information exchange (that is, both sending and rewhich includes transmitting data on core data set for all members to Health Current.		
	Milestone Period Measurement Period 1	Milestone Measurement Period 2
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State
	Develop and utilize a written protocol for use of Health Current Admission-Discharge-Transfer (ADT) alerts in the practice's management	A. Attest that the practice is transmitting data on a core data set for all members to Health Current. 9
	of high-risk members.	B. Attest that longitudinal data received from Health Current are routinely accessed to inform care management of high-risk members.
		C. Provide a narrative description of how longitudinal data are informing the care management of high-risk members.

⁹ A core data set will include a patient care summary with defined data elements.

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

10. Identify community-based resources, at a minimum, through use lists maintained by the MCO. Utilize the community-based resource list(s) and pre-existing practice knowledge to identify organizations with which to enhance relationships and create protocols for when to refer members to those resources.

At a minimum, if available, practices should establish relationships with:

- 1) Community-based social service agencies.
- 2) Self-help referral connections.
- 3) Substance misuse treatment support services.
- 4) When age appropriate, schools, the Arizona Early Intervention Program (AzEIP) and family support services (including family-run organizations).

	Milestone Period Measurement Period 1 (October 1, 2017–September 30, 2018**)	Milestone Measurement Period 2 (October 1, 2018–September 30, 2019**)
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State
1	A. Identify the sources for the practice's list of community-based	Document that the practice has conducted member and family experience
	resources.	surveys specifically geared toward evaluating the success of referral
I	3. Identify the agencies and community-based organizations to which the practice has actively outreached and show evidence of establishing a	relationships, and that the information obtained from the surveys is used to improve the referral relationships.
	procedure for referring members that is agreed upon by both the	
	practice and the community-based resource.	

11. For all practices that deliver primary care ¹⁰:

Prioritize access to appointments for all individuals listed in the high-risk registry. As applicable to the practice, specialized focus must be on:

- 1) Ensuring that children/youth in the child welfare system have prioritized access to initial visits and subsequent follow-up appointments;
- 2) Ensuring that adults transitioning from the Criminal Justice System have same-day access to appointments on the day of release and

Page 10 of 16

¹⁰ Practices delivering primary care means the practice assumes full responsibility for meeting all the primary care needs of a group of patients seen at the practice.

Project: Ambulatory

during visits to a probation or parole office.	
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017–September 30, 2018**)	(October 1, 2018–September 30, 2019**)
Practice Reporting Requirement to State	
N/A	Document the protocols used to prioritize access to members listed in the high-risk registry.

Project: Ambulatory

12. For practices participating in the Adults Transitioning from the Criminal Justice System Area of Concentration: Establish contracts with MCOs ¹¹ to be reimbursed for integrated services, ideally located within select county probation of Department of Corrections (DOC) parole offices, or in close proximity, which may include probation/parole offices relocated facilities.	
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
Wave 1: Document that the practice has executed contracts with the MCOs by September 30, 2018.	Wave 2 : Document that the practice has executed contracts with the MCOs by March 31, 2019.

Establish an integrated health care setting(s) co-located with select co- determined by the MCOs and AHCCCS. If the MCOs and provider agree proximity to the offices, or a permanent location in close proximity to	e, and AHCCCS approves, a provider using a mobile unit in near
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
	Practice Reporting Requirement to State
Wave 1: Document that service delivery is available at the site no later than September 30, 2018.	Wave 2: Document that service delivery is available at the site no latthan March 31, 2019.

¹¹ MCOs include acute plans and RBHAs.

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

14. For practices participating in the Adults Transitioning from the Criminal Justice System Area of Concentration:

Develop an outreach plan that is updated regularly, in cooperation with the probation and parole offices to encourage individuals pre- and post-release to utilize the established integrated clinic. This plan should include:

- 1) Targeted efforts to provide pre-release care coordination and schedule appointments in the integrated clinic for individuals with medium to high criminogenic risk screening.
- 2) Targeted efforts to provide eligibility and enrollment support to individuals transitioning to probation who are not already identified as Medicaid enrolled and to schedule appointments in the integrated clinic upon release.

Milestone Measurement Period 1

(October 1, 2017-September 30, 2018**)



Practice Reporting Requirement to State

Wave 1:

- A. Document that the practice has developed and implemented an outreach plan in cooperation with the probation and/or DOC parole office(s) that specifically targets individuals with medium to high criminogenic risk.
- B. Document the practice procedures for identifying and providing eligibility and enrollment support to individuals transitioning to probation and/or DOC parole offices.

Milestone Measurement Period 2

(October 1, 2018-September 30, 2019**)



Practice Reporting Requirement to State

Wave 2 (March 31, 2019): Document that the practice has developed and implemented an outreach plan in cooperation with the probation and/or DOC parole office(s) that specifically targets individuals with medium to high criminogenic risk.

Waves 1 and 2 (September 30, 2019):

- A. Document practice procedures for identifying and providing eligibility and enrollment support to individuals transitioning to probation and/or DOC parole offices.
- B. Document that the practice has a means for obtaining and analyzing, at least semi-annually, the member experience of those members who have visited the clinic, and those who have not, yet still visit the associated probation/parole office.
- C. Document that the practice has developed and implemented changes in its outreach plan in response to member experience, to attain higher utilization of practice services among those on probation/parole who travel to the probation or parole office per the terms of their release.

Project: Ambulatory

15.	For practices participating in the Adults Transitioning from the Criminal Justice System Area of Concentration: For the Justice involved population who are listed in the high-risk registry, practice care managers must include in the integrated care plan: a) the critical elements from the care plan developed as a result of "reach-in" activities conducted by the MCOs; b) mandated health care services from the Comprehensive Mental Health Court Contract; and c) health care services recommended as part of the probation/parole-specific community supervision plan.		
	The practice care manager must also collaborate with parole/probation officer to align, to the extent possible, follow-up appointments with probation/parole office visits.		
	Milestone Measurement Period 1 (October 1, 2017–September 30, 2018**)	Milestone Measurement Period 2 (October 1, 2018–September 30, 2019**)	
	Practice Reporting Requirement to State	Practice Reporting Requirement to State	
	Wave 1 : Document that the practice has developed protocols to incorporate information into the care plan, as a result of "reach-in" activities, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan.	Wave 2 (March 31, 2019): Document that the practice has developed protocols to incorporate information into the care plan as a result of "reach-in" activities, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan.	
		Waves 1 and 2 (September 30, 2019): Based on a practice record review of a random sample of 20 members whom the practice has identified as receiving mental health services and were justice-involved during the past 12 months, attest that the care manager has incorporated the reach-in care plan, the Comprehensive Mental Health Court Contract and the community supervision case plan into the integrated care plan, at least 85% of the time.	

Project: Ambulatory

16.	For practices participating in the Adults Transitioning from the Criminal Justice System Area of Concentration: Practices must have reliable and consistent access within the practice setting (via in-person or telemedicine-enabled means) to medical assisted treatment (MAT), and must develop or adopt protocols to provide MAT of opioids using evidence-based guidelines. Such guid can be found at: http://store.samhsa.gov/product/Medication-Assisted-Treatment-of-Opioid-Use-Disorder-Pocket-Guide/SMA16-4892PG		
	Milestone Measurement Period 1	Milestone Measurement Period 2	
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)	
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State	
	Wave 1: Document reliable access to at least one physician who can prescribe buprenorphine.	Wave 2 (March 31, 2019): Document reliable access to at least one physician who can prescribe buprenorphine.	
		Waves 1 and 2 (September 30, 2019):	
		 A. Document the adoption of protocols that are consistent with SAMHSA's MAT of opioids evidence-based guidelines. B. Provide three examples of it meeting the MAT guidelines for members with opioid addiction. 	

Project: Ambulatory

Area of Concentration: Adults transitioning from the Criminal Justice System Provider Type: Regional Behavioral Health Authority (RBHA) Selected Providers

For practices participating in the Adults Transitioning from the Crimin	
Create a peer and family support plan using evidence-based approach with lived experience in the public behavioral health system and Crimi	
incarcerated individuals and their families with, including but not limit	
1) Eligibility and enrollment applications;	
2) Health care education/system navigation;	
3) Finding transportation; and	
4) Information on other support resources, including health literacy and financial literacy training.	
Milestone Measurement Period 1	Milestone Measurement Period 2
(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)
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Practice Reporting Requirement to State	Practice Reporting Requirement to State
Wave 1: Document that the practice has created a peer and family support plan, which incorporates peer and family specialists as part of the co-	peer and family support plan, which incorporates peer and family
located staff and specifically articulates their role.	specialists as part of the co-located staff and specifically articulates the role.
	Waves 1 and 2 (September 30, 2019): Document the number of FTEs that have been hired or contracted to fill the peer and family support ro

18.	Participate in any Targeted Investments program-offered learning collaborative, training and education that is relevant to this project and the		
	provider population and is not already required in other Core Components. In addition, utilize any resources developed or recommendations		
	made during the Targeted Investment period by AHCCCS to assist in the treatment of AHCCCS-enrolled individuals.		
	Milestone Period Measurement Period 1	Milestone Measurement Period 2	
	(October 1, 2017-September 30, 2018**)	(October 1, 2018-September 30, 2019**)	
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	Practice Reporting Requirement to State	Practice Reporting Requirement to State	
	Not applicable. AHCCCS or an MCO will confirm practice site participation	Not applicable. AHCCCS or an MCO will confirm practice site participation	
	in training.	in training.	

support specialists.

and the training they have undergone to be effective peer and family